IMPROVED METHOD TO OBTAIN PFAFFIC ACID AS A MARKER FOR QUALITY CONTROL

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Pfaffic acid, a marker of *Hebanthe eriantha* (Brazilian ginseng), was first isolated in 1983 but is not yet commercially available. This lack of availability compromises the quality control of this plant and its derivatives. This paper proposes a process for pfaffic acid isolation from roots of *H. eriantha* at a purity suitable for analytical purposes. The steps involved in this process included extraction, hydrolysis, fractionation and purification by preparative HPLC. This process led to isolation of pfaffic acid with a chromatographic purity of 98.5% in a 0.25% yield from dried roots of *H. eriantha*; this yield is more than forty times higher than that of the current method in the literature.

Keywords: pfaffic acid; Hebanthe eriantha; Pfaffia paniculata.

INTRODUCTION

The commercial availability of reference products derived from natural products is the starting point for the development of analytical methods for quality control. In this context, the large Brazilian biodiversity contrasts with the few studies that have been performed on its native species. An example is *Hebanthe eriantha*, Brazilian ginseng, which has no analytical method for the determination of its active component, pfaffic acid.

The chemical structure of pfaffic acid was established in 1983 from the hydrolyzed extract obtained from the roots of this plant.¹ Despite the widespread use of this species for its confirmed pharmacological effects,²⁻⁷ the unavailability of commercial pfaffic acid significantly compromises its quality control. *H. eriantha* is a very restricted species that is obtained by extractivism, and it is slow growing and difficult to propagate, leading to the marketing of other species as *H. eriantha*.

Chemically, approximately 11% of *H. eriantha* metabolites consist of the triterpene pfaffic acid and its glycosides, which are denoted as A, B, C, D, E and F pfaffosides (Figure 1).^{1,8,9}

The isolation methods described in the literature to obtain pfaffic acid from the roots of *P. paniculata* involve extraction with hot methanol, acid hydrolysis followed by partitioning between butanol and water and the purification of the organic fraction on a silica gel column.^{1,9} The presence of the pfaffosides in the crude extract requires the use of a hydrolysis step to release the aglycon (pfaffic acid); this hydrolysis is typically achieved by the addition of sulfuric acid in methanol.

The same extraction process was employed for the isolation of pfaffane-type nortriterpenoids, including pfaffic acid, from the roots of *Pfaffia pulverulenta*. Additionally, to obtain a dried extract enriched with pfaffic acid and/or pfaffosides with adaptogenic action, a patent was developed by the Instituto de Medicina Tropical de São Paulo (U.S. 5,449,516)¹² using the procedure described by Takemoto *et al.*.

The aim of this work was to optimize the isolation and purification of pfaffic acid from the roots of *H. eriantha* to obtain a compound with a suitable degree of purity for analytical purposes.



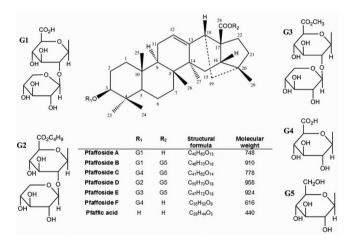


Figure 1. Structures of pfaffic acid and pfaffosides from H. eriantha. Adapted from ref. 10

EXPERIMENTAL

Plant material

Roots from *Hebanthe eriantha*, Amarantaceae, were collected in Silveiras, São Paulo State, Brazil. These plants were cultivated on CPQBA UNICAMP, and after one year of development, the plants were harvested in August, 2010, washed with water, crushed in a domestic processor and dried in an oven with forced air circulation at 40 °C. The plant material was classified systematically by R. B. Torres from the Instituto Agronômico de Campinas (IAC). The voucher specimens were deposited and registered at the IAC herbarium under the number IAC 50213.

Chemicals

The solvents used for the isolation and purification were of analytical and HPLC grades, respectively. The water used for the mobile phase was purified using a Simplicity® system (MilliporeTM, São Paulo, Brazil), and methanol was of HPLC grade.

Apparatus

The nuclear magnetic resonance spectra were obtained on a Bruker instrument (1 H: 400 MHz, 13 C, 100 MHz) using CDCl $_{3}$ as solvent and TMS as an internal reference. The infrared spectra (IR) were obtained in KBr on a Spectrum One FT-IR spectrometer (Perkin-Elmer). The high-resolution ESI-MS spectra were obtained by direct insertion of the sample into a Micromass Q-TOF -electrospray ionization instrument operating in positive mode. The measurement of optical rotation [α]_D was performed in a Perkin-Elmer 341 polarimeter using a 0.72 mg mL -1 solution in chloroform, and the reading taken at 589 nm with an NaCl lamp. The melting point (mp) was determined in an Electrothermal apparatus.

Optimized process for pfaffic acid isolation

The procedure employed for pfaffic acid isolation involved pfaffoside extraction from *H. eriantha* roots followed by acid hydrolysis to release the aglycon (pfaffic acid). Purification steps using classical column chromatography and semi-preparative HPLC were then conducted.

The dried and crushed roots (60 g) were subjected to extraction at reflux using 80% ethanol (500 mL) over 4 h. After extraction, the material was filtered under vacuum and re-extracted under the same conditions. The extracts were combined, and the solvent was removed in an evaporative rotator under vacuum to obtain a crude extract.

This extract was hydrolyzed at reflux with 250 mL of 6% hydrochloric acid for 4 h. The system was not heated above 120 °C to avoid degradation of the sample. The crude hydrolyzed extract was partitioned twice with 200 mL of ethyl acetate, and the organic phases were combined and dried in an evaporative rotator under vacuum. The obtained residue was further purified using classical column chromatography.

The fractionation of the sample was performed on a chromatographic column of silica gel 60 (0.063-0.200 mm) at a sample/stationary phase ratio of 1:12 (w/w); 50 mL fractions were collected. The column was packed with n-hexane and eluted with a mixture of n-hexane/ethyl acetate (70:30, v/v). Fractions F28 to F38 showed a single band by TLC analysis that was identified as pfaffic acid by GC-MS after methylation with diazomethane. These fractions were combined and dried under vacuum to give an amorphous solid with a light yellow color.

The solid obtained was subsequently purified by semi-preparative HPLC on a WatersTM system equipped with a Delta 600® quaternary pump, a WatersTM 2996 diode array detector (monitoring at 210 nm), a WatersTM Fraction Collector III and Empower® software. A SunFire® Prep C-18 OBD (100 mm x 19 mm i.d., 5 µm; WatersTM, USA) column was used. Methanol/formic acid 0.1% (90:10, v:v) was used as the mobile phase with a flow rate of 18 mL min⁻¹. The samples were diluted in dimethylsulfoxide (DMSO) to approximately 125 mg mL⁻¹, and 1.0 mL was injected into the HPLC. Five fractions were collected, corresponding to the middle portion of the pfaffic acid peak, and evaporated under vacuum to yield 244 mg of purified compound.

Thin layer chromatography (TLC)

Fractions and extracts were analyzed on TLC 60 F_{254} aluminum sheets (MerckTM) using ethyl acetate as the mobile phase. After elution, the sheet plate was examined under UV light (254, 366 nm) and sprayed with p-anisaldehyde followed by heating (100-105 °C) for 5 min for visualization.

GC-MS analysis

Fractions containing pfaffic acid were analyzed by GC-MS after methylation with diazomethane solution. Analyses were performed using an Agilent $^{\rm TM}$ 6890N gas chromatograph-mass spectrometer fitted with a fused methyl silicon HP-5MS column (30 m x 0.25 mm i.d., 0.25 µm film thickness). Helium was used as the carrier gas at a flow rate of 1.0 mL min $^{\rm -1}$. The oven temperature was kept at 110 $^{\rm o}$ C for 2 min, programmed to rise to 280 $^{\rm o}$ C at a rate of 5 $^{\rm o}$ C min $^{\rm -1}$ and then kept constant for 26 min. The injector temperature was 250 $^{\rm o}$ C, and the split ratio was adjusted to 1:30. The mass spectral data were obtained at the following conditions: ionization potential, 70 eV; detector temperature, 300 $^{\rm o}$ C; and solvent delay, 3 min. The samples were solubilized in ethyl acetate to a concentration of 20 mg L $^{\rm -1}$ and injected into the gas chromatograph.

Chromatographic purity determination

Chromatographic purity was measured on an Alliance® LC-DAD-MS system (Waters TM , USA) equipped with a Symmetry® C18 column (75 x 4.6 mm; 3.5 µm, Waters TM) at 35 °C using 0.1% formic acid and methanol (18:82, v:v) as the mobile phase at a flow rate of 1.0 mL min $^{-1}$. UV detection was accomplished with a DAD at 210 nm. Mass spectra were obtained using a 3100 Waters TM detector (single quadrupole) equipped with an ESI source operating in negative mode. For ESI, the best conditions were found to be an ionization voltage of 2.05 kV, a cone voltage of 150 V and an extractor voltage of 2 V. Nitrogen was employed as a desolvation gas at 500 L h^{-1} . The source and desolvation temperatures were 120 and 300 °C, respectively. The analyzed samples were prepared in the eluent at a concentration of approximately 200 µg mL $^{-1}$, and 20 µL was injected into the chromatograph.

RESULTS AND DISCUSSION

The process to obtain pfaffic acid from the roots of *H. eriantha* (Poir.) Pedersen was evaluated using different extraction techniques (Soxhlet, reflux and turbo-extraction). Turbo-extraction was accomplished at room temperature. For extraction, methanol and 80 and 96% ethanol were evaluated as extraction solvents, as suggested by several authors, for different time periods.^{9,11,12}

After filtration and organic solvent evaporation, a dried crude extract was obtained. Hydrolysis of this crude extract was optimized in acidic medium to release the aglycon (pfaffic acid) using different hydrochloric acid concentrations and reflux times (5-15%, 4-6 h) (Table 1).

According to data presented in Table 1, of all the techniques studied, turbo-extraction returned the highest yield of dry mass. However, extract partitioning with ethyl acetate led to emulsion formation after hydrolysis, making this method of isolation unfeasible. The greater efficiency of turbo-extraction was due to cell disruption, but this also led to the extraction of a greater number of interfering compounds.

The realization of simultaneous extraction and hydrolysis resulted in 8.3 and 12.8% yields of dry mass (F and G, respectively), which were approximately 5 times greater than the yield obtained by alcoholic and hydroalcoholic extraction. However, the use of acidified aqueous medium led to large amounts of foam and strong browning due to sample degradation when 10% HCl was used.

The compositions of all the crude hydrolyzed extracts (except E) were evaluated by TLC analysis. A violet band was observed in the upper third of the chromatogram that was later confirmed to be pfaffic acid by GC-MS analysis after methylation with diazomethane. The

Table 1. Preliminary tests for extraction yields in dry mass using hydrolysis steps separately and simultaneously

	Extraction		Undealmain*		_ Dry mass
Tests	Extraction		Hydrolysis*		
	conditions	yield (%)	conditions	yield (%)	overall yield (%)
A	Soxhlet,12 h, 96% EtOH	12.5	15% HCl, reflux, 6 h	23.8	2.98
В	Reflux, 4 h, 96% EtOH	7.9	15% HCl, reflux, 5 h	30.6	2.42
С	Reflux, 4 h, MeOH	9.5	15% HCl, reflux, 5 h	29.2	2.77
D	Reflux, 4 h, 80% EtOH	7.2	6% HCl, reflux, 4 h	30.5	2.20
Е	Turbo-extraction, room temperature, 5 min, 80% EtOH	20.9	15% HCl, 4 h	nd**	-
	Simultaneous Extra	action a	nd Hydrolysi	is	
F	Reflux, 4	12.8			
G	Reflux, 4 h, 10% HCl				8.3

^{*}After hydrolysis, all tests were partitioned with ethyl acetate. **nd: not determined due to emulsion formation.

chromatogram obtained with test D showed the most intense band corresponding to pfaffic acid, so the use of hydroalcoholic medium at reflux for 4 h was adopted as the preferred isolation method.

The crude hydrolyzed extract was then fractionated, and 50 mL fractions were collected from a silica gel column using n-hexane/ ethyl acetate (70:30, v/v) as the mobile phase. After TLC analysis, the samples were combined according to their chemical profiles and dried under vacuum to obtain 7 samples (GF1-GF7). The amount obtained for each fraction was 506 mg (GF1), 78 mg (GF2), 93 mg (GF3), 580 mg (GF4), 383 mg (GF5), 735 mg (GF6) and 448 mg (GF7). TLC analysis of the samples revealed the presence of pfaffic acid as a single band in GF4. After solvent evaporation, a faintly yellow amorphous solid was obtained.

GC-MS analysis of GF4 was performed and revealed a major signal at 47 min with a mass spectrum characteristic of methylated pfaffic acid (454 Da molecular ion and a 246 Da base peak). The GF4 sample was then purified by semi-preparative HPLC, in which the middle part of the pfaffic acid peak was collected (retention time 4.5 min, k = 3.1). After removing the solvent under vacuum, a white amorphous solid was obtained at a 42% yield.

Confirmation of the chemical structure was achieved by comparing the melting point, optical rotation, high-resolution mass spectrum, infrared spectrum, ¹H NMR, ¹³C NMR, COSY, DEPT, HSQC and HMBC to data reported by Shiobara *et al.*. ¹¹ The purified pfaffic acid (Figure 1) displayed a melting point in the range of 279-281.5 $^{\circ}$ C [α]²²_D + 84.9 (0.72 mg mL⁻¹, CHCl₃). The ESI-MS mass spectrum in the positive mode showed the protonated molecular ion at *m/z* 440.3283, which corresponded to the molecular formula $C_{29}H_{44}O_3$. The IR spectrum showed characteristic absorptions at v_{max} cm⁻¹ 3420 (OH), 1689 (C=O), 1631 (C=C), 1328, 1118 and 1078, which were in agreement with literature data. ^{1,9}

The 1H NMR spectrum showed five methyl singlets at δ H 1.319, 1.180, 0.984, 0.898, 0.764 and 0.722 ppm, a double doublet at 3.22 δ H for carbinolic hydrogen H3 and a deformed triplet at δ 5.23, which corresponded to the H12 olefinic hydrogen. The 13 C NMR spectrum showed 29 carbons, and comparative analysis of 13 C NMR DEPT (Distortionless Enhancement by Polarization Transfer) confirmed the presence of 9 CH₃, 6 CH₃, 6 CH and 8 CO (quaternary carbons),

which matched the expected structure of pfaffic acid. The assignment of hydrogen and carbon chemical shifts was performed through an evaluation of the ^{13}C NMR HMBC (Heteronuclear Multiple Bond Correlation), ^{13}C HSQC NMR (Heteronuclear Single Quantum Coherence) and 1 H NMR COSY (Correlate Spectroscopy) spectra (Table 2). The acid and olefin groups were assigned to δ C 180.93 (CO₂H), 144.45 (=C-13) and 120.26 (=HC-12) ppm, and the signal for a methylenic sp² hydrogen at δ H 5.23 (sl) was present in the ^{1}H NMR spectrum. 11

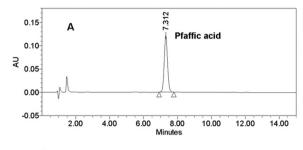
Table 2: ¹H and ¹³C NMR data of the isolated pfaffic acid (CDCl₃, TMS). Chemical shifts are in ppm $(\delta_{_H} e \, \delta_{_C})$, and (J) is in Hz*

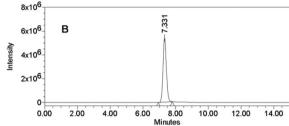
	9			HMBC		
	$\delta_{_{\rm H}}$	$\delta_{ m c}$ -	$^2 J_{CH}$	$^{3}J_{CH}$		
CH-1	H1α 0.98 H1β 1.55;	38.90	H-2	H-5; H-25		
CH-2	H2α 1.50 (m); H2β 1.60 (m)	27.17	H-1	H-4; H-10		
CH-3	3.22 (dd, 10.76; 4.44)	79.04	-	H-23; H-24		
C-4	-	38.78	H-5; H-23; H-24	H-2; H-6		
CH-5	0.72	55.31	H-6	H-1; H-7; H-9; H-23; H-24; H-25		
CH-6	H6α 1.56; H6β 1.38	18.22	H-5; H-7	H-26		
CH-7	H7α 1.46; H7β 1.30	31.57	H-6	H-5; H-26		
C-8	-	39.66	H-7; H-26	H-6; H-11; H-27		
CH-9	1.58 (m)	47.31	H-11	H-5; H-7; H-25; H-26		
C-10	-	37.03	H-5; H-25	H-2;H-6;H-11		
CH-11	H11α 1.65; H11β 1.90;	23.02	H-9; H-12	H-8; H-10; H-13		
CH-12	5.23 td	120.26	H-11	H-18;		
C-13	-	144.45	H-18	H-11; H-19;H-27		
C-14	-	40.14	H-27	H-7; H-16;H-18;H-26		
CH-15	H15α 1.24; H15β 2.00;	28.42	H-16	H-27		
CH-16	1.80 dd	51.10	-	H-18; H-19; H-21; H-22		
C-17	-	55.61	H-16; H-18; H-22	H-19; H-21;		
CH-18	2.56 dd	51.51	H-19	H-16; H-22; H-29		
CH-19	1.55 e 0.95	38.42	H-18	H-16; H-21; H-29		
C-20	-	44.39	H-16; H-19; H-21; H-29	H-18; H-22; H-29		
CH-21	1.70 e 1.40	41.08	H-22	H-16; H-19; H-29		
CH-22		33.54	H-21	H-16; H-19		
CH-23	0.98 s	28.03	-	H-3; H-5; H-24		
CH-24	0.72 s	15.55	-	H-3; H-5; H-23		
CH-25	0.89 s	15.18	-	H-1; H-5; H-29		
CH-26	0.76 s	16.32	-	H-7		
CH-27	1.34 s	29.87	-	-		
C-28	-	180.93	-	H-18; H-19; H-22		
CH-29	1.18 s	18.34	-	H-21		

^{*}The number of hydrogens attached to carbon was deduced based on the DEPT spectra.

The observed variations in the chemical shifts of the carbons and hydrogens were due to the previously reported spectrum being acquired at 100 MHz in deuterated pyridine. ¹¹ The various techniques used allowed the assignment of the chemical shifts of pfaffic acid.

The analysis of pfaffic acid by LC-DAD revealed a chromatographic purity of 98.5% determined at 210 nm, and mass spectrometry detection by ESI in negative mode confirmed this purity. Figure 2 shows the chromatograms obtained from these two methods and the mass spectrum of pfaffic acid.





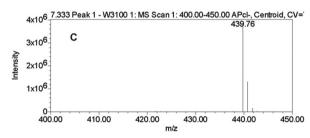


Figure 2. LC-DAD-ESI-MS analysis for purified pfaffic acid (200 µg mL⁻¹). (A) chromatogram obtained at 210 nm; (B) chromatogram obtained from ESI-MS detection (scan mode) in negative mode with the mass spectrum for the pfaffic acid peak (C)

The isolation of pfaffic acid from *H. eriantha* roots involved four steps: extraction in hydroalcoholic medium, acid hydrolysis, column

chromatography fractionation and purification by semi-preparative HPLC. This procedure afforded a pfaffic acid yield of 0.25%, which is at least 40 times higher than the yield described by Takemoto *et al.*. (0.006%).

CONCLUSIONS

The process of pfaffic acid isolation reported here was efficient (0.25% yield) and led to the isolation of a solid with a purity that was suitably high for analytical purposes (98.5% HPLC purity). Additionally, this study has contributed further spectroscopic data on the compound of interest that arose from the use of more sophisticated NMR techniques.

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